Live attenuated influenza vaccine provides superior protection from heterologous infection in pigs with maternal antibodies without inducing vaccine associated enhanced respiratory disease

Running title: Vaccine efficacy in pigs with maternal immunity

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Abstract

Control of swine influenza A virus (IAV) in the US is hindered since inactivated vaccines do not provide robust cross-protection against the multiple antigenic variants co-circulating in the field. Vaccine efficacy can be further limited when administered to young pigs that possess maternally derived immunity. We previously demonstrated a recombinant A/sw/Texas/4199-2/1998 (TX98) (H3N2) expressing a truncated NS1 protein is attenuated in swine and has potential for use as an intranasal live attenuated influenza virus (LAIV) vaccine. In the present study, we compared 1 dose of intranasal LAIV with 2 intramuscular doses of TX98 whole inactivated virus (WIV) with adjuvant in weanling pigs with and without TX98-specific maternally-derived antibodies (MDA). Pigs were subsequently challenged with wild type homologous TX98 H3N2 virus or with an antigenic variant A/sw/Colorado/23619/1999 (CO99) (H3N2). In the absence of MDA, both vaccines protected against homologous TX98 and heterologous CO99 shedding, although the LAIV elicited lower hemagglutination inhibiting (HI) antibody titers in serum. The efficacy of both vaccines was reduced by the presence of MDA; however, WIV vaccination of MDA-positive pigs led to dramatically enhanced pneumonia following heterologous challenge, a phenomenon known as vaccine-associated enhanced respiratory disease (VAERD). A single-dose of LAIV to MDA-positive pigs still provided partial protection from CO99 and may be a safer vaccine for young pigs in field conditions where dams are routinely vaccinated and diverse IAV strains are in circulation. These results have implications not only to pigs but to other influenza virus host species.
Introduction

The speed and complexity of swine influenza A virus (IAV) evolution has sharply increased since 1998, when a new reassortant lineage with the “triple reassortant internal gene” (TRIG) constellation of internal genes began to circulate and eventually predominate in the North American pig population (29). As a result, many antigenic variants continue to emerge and diminish the field efficacy of IAV vaccines (11, 16, 27). Fully licensed influenza vaccines for use in swine in North America and Europe consist of whole inactivated virus, which may not be an optimal form of antigen for inducing cross-reactive cellular and mucosal immunity against antigenic variants (12). Live attenuated influenza virus (LAIV) vaccines represent an approach that could potentially prime pigs for broader cross-protective immunity. Rational design of attenuated IAV vaccine strains by molecular engineering has been explored in recent studies (14, 18, 23). One method is truncation of the NS1 gene, which encodes an immune modulating interferon antagonist (23, 24). It was previously shown that an H3N2 IAV with a truncated NS1 (NS1Δ126 TX98) replicated poorly in pigs after intranasal inoculation, but elicited neutralizing serum antibodies as well as mucosal antibodies and provided robust protection against homologous challenge in naïve pigs with a single intranasal application (26). There was a comparable level of cross-protection against a serologically distinct H3N2 strain in NS1Δ126 TX98-vaccinated pigs, which was likely mediated in part by cross-reactive mucosal IgA. The vaccine offered less but still substantial protection against challenge with an H1N1 virus, to which the antibodies failed to cross-react. T-cell priming was not analyzed, but may have contributed to heterologous and heterosubtypic protection. We hypothesize that replicating attenuated virus delivered intranasally (IN), such as NS1Δ126 TX98, primes a more robust
cellular and mucosal immunity than an inactivated virus vaccine delivered intramuscularly (IM), therefore providing greater cross-protection against variant strains.

A concern with inactivated adjuvanted IAV vaccines is the phenomenon of vaccine-associated enhanced respiratory disease (VAERD) (4, 5, 8, 25). This phenomenon is associated with the use of vaccines containing a virus of the same HA subtype as the subsequent challenge strain, but with substantial antigenic drift. Our group recently described VAERD in association with the use of a vaccine containing a human-like delta cluster H1N2 antigen followed by challenge with 2009 pandemic H1N1 (5). A consistent predisposing factor for VAERD is the presence of IgG antibodies that cross-react to the heterologous virus but lack the ability to neutralize infectivity. Distinguishing pathologic features of VAERD include severe bronchointerstitial pneumonia with necrotizing bronchiolitis, interlobular and alveolar edema and hemorrhage (4). These pulmonary changes were accompanied by significant elevation of proinflammatory cytokines.

Another obstacle for efficacious vaccination of pigs against IAV is interference from maternally-derived immunity (MDI), particularly maternally-derived antibodies (MDA), acquired through colostrum. Provided there are still sufficient antibody titers in the serum when pigs are infected, MDA can reduce clinical disease (21), but the passive antibodies are less effective in blocking viral shedding from the upper respiratory tract (2, 10), probably because the predominant antibody isotype received in colostrum is IgG. Pigs with significant IAV-specific MDA titers typically have suppressed adaptive antibody responses to homologous infection or vaccination (21). This interference affects IgM, IgG, and hemagglutination inhibition (HI) antibody titers in serum, as well as nasal IgA (10). T-cell proliferation assays have indicated that
the cellular immune response to IAV is less susceptible to MDA inhibition (8, 10). Analyses of pigs’ immune responses to pseudorabies virus, an alpha herpesvirus, have shown a similar pattern, where MDA blocks the humoral but not the cellular immune response following piglet vaccination or infection (19, 28). One perceived advantage of vaccination with LAIV is that circulating MDA (mainly IgG) is less likely to interfere with intranasally-delivered antigen than with inactivated antigen delivered by a parenteral route. In the present study we tested the immunogenicity and protective efficacy of intranasal NS1Δ126 TX98 vaccine versus an inactivated, adjuvanted TX98 administered intramuscularly. These vaccine strategies were tested in naïve and MDA-positive weanling pigs subsequently challenged with homologous or heterologous strains of H3N2 IAV.

Methods

Viruses and vaccine preparation

Antigen for the whole inactivated virus (WIV) vaccine was A/sw/Texas/4199-2/1998 (wt TX98), grown in Madin-Darby canine kidney (MDCK) cells. Clarified virus from infected culture was inactivated by UV irradiation, using the sterilize setting in an ultraviolet cross-linking chamber (GS Gene Linker, Bio-Rad, Hercules, CA). Inactivation of the virus was confirmed by failure to replicate in 2 serial passages on MDCK cells. A commercial adjuvant was added at a 1:5 ratio (Emulsigen D, MVP Laboratories, Inc., Ralston, NE). Each dose of WIV contained approximately 128 HA units of virus. Attenuated virus for the LAIV was generated via reverse genetics as previously described (24). The attenuated vaccine virus contained an NS1 gene with a 3’ premature termination plus the insertion of four stop codons in the three frames after, producing a protein 126 amino acids in length with a carboxy-terminal truncation (TX98-
NS1Δ126). The remaining seven gene segments were from wild type TX98. The challenge viruses included wild-type TX98 H3N2 and a heterologous A/SW/CO/23619/99 H3N2 (CO99). The TX98 and CO99 were shown previously to have limited serologic cross-reactivity (22).

Vaccine and challenge viruses were grown in MDCK cells.

**Experimental design**

Eight sows obtained from a high-health herd free of IAV and porcine reproductive and respiratory syndrome virus (PRRSV) were vaccinated with the TX98 WIV. Each vaccinated sow received 3 doses at 2 week intervals beginning in mid-gestation. Six sows from the same high-health source were not vaccinated for IAV. All sows delivered their pigs without surgical intervention and pigs suckled their own dams. Pigs were bled for evaluation of transfer of MDA at 1 week of age and were weaned at 2 weeks of age. They were treated with ceftiofur crystalline free acid (Pfizer, New York, NY) at weaning to reduce respiratory bacterial contaminants. Pigs were demonstrated to be free of influenza virus by nasal swab sampling, and those born to non-vaccinated sows were shown by serum HI assay to be free of anti-influenza antibody prior to piglet vaccination.

To evaluate both vaccines when given in the presence or absence of H3N2 IAV-specific MDA, 51 pigs with MDA were divided into 7 groups and 52 pigs without MDA were divided into 7 groups (Table 1). Pigs in the LAIV groups were vaccinated with 2 mL of TX98-NS1Δ126 at 1 X 10⁶ 50% tissue culture infective doses (TCID₅₀) per ml by slowly dripping vaccine in the nose. LAIV was administered once at weaning, approximately 14 days of age. Pigs in the WIV groups were vaccinated intramuscularly with 1 ml of the formulation described above, at approximately 14 and 28 days of age. At 8 weeks of age non-vaccinated pigs with MDA were
determined to have HI titers below 1:40, indicating waning of MDA prior to challenge. Pigs in each challenge group were inoculated with 2 ml (1 X 10^5 TCID_{50}/ml) of the indicated virus. Challenge viruses were given intratracheally while the pigs were anesthetized following an intramuscular injection of a cocktail of ketamine (8 mg/kg), xylazine (4 mg/kg), and Telazol (6 mg/kg, Fort Dodge Animal Health, Fort Dodge, IA). Challenge groups were housed in individual isolation rooms and cared for in compliance with the Institutional Animal Care and Use Committee of the National Animal Disease Center.

Clinical observation and sampling

To compare the efficacies of WIV and LAIV against infection with homologous and heterologous viruses, infected pigs were observed daily for clinical signs. Nasal swabs were taken on 0, 3, and 5 days post-infection (dpi), placed in 2 ml minimal essential media (MEM) and frozen at -80°C until study completion. All animals were humanely euthanized 5 dpi with a lethal dose of pentobarbital (Sleepaway, Fort Dodge Animal Health, Fort Dodge, IA). After euthanasia, each lung was lavaged with 50 ml MEM to obtain bronchoalveolar lavage fluid (BALF). Nasal swab specimens were filtered (0.45 mm), and a 200 µl aliquot of each was plated onto confluent phosphate buffered saline (PBS) washed MDCK cells in 24-well plates. After 1 hour incubation at 37°C, 200 µl serum-free MEM supplemented with 1 µg/ml TPCK trypsin and antibiotics was added per well. All wells were evaluated for cytopathic effect (CPE) between 48-72 hours. Ten-fold serial dilutions in serum-free MEM supplemented with TPCK trypsin and antibiotics were made with each BALF sample and virus isolation positive nasal swab filtrate sample. Each dilution was plated in triplicate in 100 µl volumes onto PBS-washed confluent MDCK cells in 96-well plates. Plates were evaluated for CPE between 48-72 hours post infection. At 72 hours,
plates were fixed with 4% phosphate-buffered formalin and stained using immunocytochemistry with an anti-influenza A nucleoprotein monoclonal antibody as previously described (8). A TCID$_{50}$ titer was calculated for each sample using the standard method (20).

**Pathologic examination of lungs**

At necropsy, lungs were removed and evaluated for the percentage of the lung affected with purple-red consolidation typical of IAV infection. The percentage of the surface affected with pneumonia was visually estimated for each lung lobe, and a total percentage for the entire lung was calculated based on weighted proportions of each lobe to the total lung volume (6). Tissue samples from the trachea and right middle or affected lung lobe were fixed in 10% buffered formalin for histopathologic examination. Tissues were processed by routine histopathologic procedures and slides stained with hematoxylin and eosin. Microscopic lesions were evaluated by a veterinary pathologist blinded to treatment groups. Individual scores were assigned to each of three parameters: percent of intrapulmonary airways demonstrating epithelial necrosis or proliferation, percent of bronchi and bronchioles demonstrating peribronchiolar lymphocytic cuffing (PBLC) and magnitude of neutrophil exudation in bronchioles and alveoli. The intrapulmonary airway epithelium was scored according to the following criteria: (0.0) no significant lesions; (1.0) a few airways affected with bronchiolar epithelial damage; (1.5) more than a few airways affected (up to 25%); (2.0) 50% airways affected often with interstitial pneumonia; (2.5) approximately 75% airways affected, usually with significant interstitial pneumonia; (3.0) greater than 75% airways affected, usually with significant interstitial pneumonia. Peribronchiolar lymphocytic cuffing was scored according to the following criteria:
(0.0) no significant lesions; (1.0) a few airways with light PBLC; (1.5) more than a few airways with PBLC; (2.0) 50% airways with PBLC; (2.5) approximately 75% airways with PBLC; (3.0) greater than 75% airways with PBLC. Neutrophil (PMN) exudation in bronchioles and alveoli were scored according to the following criteria: (0.0) none to minimal presence of neutrophils; (1.0) small clusters of PMNs present in occasional airways; (2.0) Prominent small to large aggregates of PMNs in bronchiolar lumens, minimally in alveoli. A composite score was computed using the sum of the three individual scores. The average group composite score was used for statistical analysis.

The trachea was evaluated with a single score based on the magnitude of epithelial attenuation or necrosis. Trachea scores were based on the following criteria: (0.0) normal epithelium the entire circumference; (1.0) focal epithelial attenuation; (2.0) Extensive epithelial attenuation or necrosis.

**Serologic and mucosal antibody assays**

Serum samples were collected by anterior vena cava or jugular venipuncture at the following points: pre-weaning (-7 dpv), primary vaccination (0 dpv), WIV secondary vaccination (14 dpv), 2 weeks post-secondary vaccination (28 dpv), challenge inoculation (49 dpv / 0 dpi), and necropsy (5 dpi). For use in the HI assay, sera were heat inactivated at 56°C and treated to remove non-specific agglutinators with a 20% suspension of Kaolin (Sigma Aldrich, St. Louis, MO) followed by adsorption with 0.5% turkey red blood cells (RBC). HI assays were done using TX98 and CO99 viral antigens and turkey RBC using standard techniques and with a maximum titer of 1:640 (17).
Enzyme-linked immunosorbent assays (ELISA) to detect total IgG and IgA antibodies against whole virus preparations of TX98 and CO99 present in serum and BALF were performed as previously described (Gauger et al., 2011) with the following modifications. Serum samples were diluted to 1:2000 for the IgG ELISA. BALF samples were diluted to 1:4 for IgG and IgA ELISAs. Samples were diluted in bovine serum albumen (Fraction V BSA, Life Technologies) and PBS with a final concentration of 5% BSA to block non-specific antibodies. Independent assays were conducted using 50 μL of whole virus TX98 or CO99 at 100 HA units per well as ELISA antigen and coated plates were blocked with 150 μL of a commercial blocking buffer (Starting Block, Thermo Fisher). Anti-swine IgG (Kirkegaard and Perry) and anti-swine IgA (Bethyl Laboratories) were used at a 1:1500 dilutions in blocking buffer. Each sample was analyzed in duplicate. The optical density (O.D.) was measured at 405 nm wavelength with an automated ELISA reader. Antibody levels were reported as the mean O.D. for each sample and the means for each treatment group were compared.

**Statistical analysis**

Macroscopic and microscopic pneumonia scores, log_{10} transformed BALF and nasal swab virus titers, log_{2} transformations of HI reciprocal titers, and mean O.D. for ELISA assays were analyzed using analysis of variance (ANOVA) with a p-value ≤ 0.05 considered significant (GraphPad Prism software, La Jolla, CA). Data from treatment groups infected with different virus strains (TX98 versus CO99) were analyzed separately. Response variables shown to have a significant effect by treatment group were subjected to pair-wise mean comparisons using the Tukey-Kramer test.

**Results**
Serology

Serum antibody responses to the vaccines displayed different profiles compared between MDA-negative and MDA-positive pigs. In MDA-negative pigs, WIV induced high HI responses in sera against TX98 with a geometric mean reciprocal titer of 556 at 49 dpv, whereas LAIV induced TX98-specific HI titers only marginally above the limit of detection (Fig. 1A). Similarly, WIV induced greater levels of TX98-specific serum IgG in MDA-negative pigs (Fig. 1C). WIV vaccination induced a modest level of cross-reacting HI titers in sera against CO99 in MDA-negative pigs, with a geometric mean reciprocal titer of 61 at 0 dpi (Fig. 1B), and there was a corresponding increase in CO99 serum IgG (Fig. 1D). In contrast, LAIV induced no detectable HI or total IgG cross-reactivity against CO99, even in MDA-negative pigs (Fig. 1B and 1D, respectively).

Pigs that suckled immunized dams acquired MDA, as measured by serum HI titers against the vaccine strain TX98 at seven days before vaccination (-7 dpv) with a geometric mean reciprocal titer of 312 and a range between 40 and ≥640; however, pigs in the MDA-positive groups did not respond to LAIV or WIV vaccination with increases in HI antibody titers to TX98 (Fig. 1A). In these MDA-positive pigs, weakly cross-reactive HI titers against CO99 were detectable at -7 dpv (Fig. 1B). Homologous HI titers in the MDA-positive pigs declined by the day of challenge (49 dpv) to levels below or near the lower limit of detection (≤40). However, prior to challenge maternally-derived serum IgG specific to TX98 was still detectable by ELISA in non-vaccinated controls (Fig. 1C) but not against the heterologous CO99 (Fig. 1D). Although IgG levels in the WIV-vaccinated MDA-positive group were significantly higher than in the non-vaccinated MDA-positive group, WIV vaccination resulted in significantly higher IgG levels in the...
MDA-negative pigs against both the TX98 and CO99 viruses (Fig. 1C and 1D, respectively). LAIV given to MDA positive pigs failed to induce an increase in the pre-existing total IgG in sera against TX98 or CO99 (Fig. 1C and 1D), similar to the HI response. Serum IgG responses after experimental challenge with TX98 or CO99 (5 dpi) displayed a similar pattern to the pre-challenge results (Fig. 1E and 1F), with the notable exception of a boost in IgG antibodies binding to CO99 in the pigs given LAIV in the absence of MDA and challenged with CO99 (Fig. 1F).

**Mucosal Antibody Responses**

After TX98 challenge (5 dpi), there were significant levels of TX98-specific IgG in lungs of WIV vaccinates, whether vaccinated in the presence or absence of MDA (Fig. 2A). LAIV vaccinates had significant levels of TX98-specific IgG in lungs only if the vaccine was administered in the absence of MDA (Fig. 2A). This was consistent in pigs challenged with CO99 as well (Fig. 2B). In sharp contrast, statistically significant IgA levels were only detected in the lungs of pigs vaccinated with LAIV in the absence of MDA. Results were similar in pigs challenged with either virus and in both the TX98 and CO99 ELISA assays (Fig. 2C and 2D, respectively). Thus, similar to the serum antibody profiles, mucosal antibody responses also differed between vaccine types and were impacted by the presence of MDA at the time of vaccination.

**Replication of challenge viruses**

Distinct differences were detected in replication of challenge virus based on MDA and vaccine status. Nasal replication of TX98 and CO99 challenge viruses was monitored by virus isolation and titration of virus in nasal swabs. As expected, no virus was detected in any of the pigs on the day of challenge (data not shown). In MDA-negative, non-vaccinated (NV) animals the two
viruses reached similar nasal titers at 3 and 5 dpi, between $10^4$ and $10^5$ TCID$_{50}$/ml (Fig 3). Non-vaccinated pigs that received TX98-specific MDA shed 10- to 100-fold less TX98 virus 3 and 5 dpi (Fig 3), even though their passive serum HI titers were near the lower limit of detection at the time of challenge (Fig 1A). In contrast, nasal shedding of CO99 was not significantly inhibited by the presence of residual TX98-specific MDA (Fig 3B). In MDA-negative pigs vaccinated with WIV or LAIV, both vaccines provided effective protection against the nasal shedding of TX98 and CO99, as almost no virus was detected 3 or 5 dpi in these treatment groups. Pigs that had been vaccinated with WIV in the face of MDA demonstrated reduced TX98 virus shedding at both samplings, but not complete prevention as in the MDA-negative, WIV-vaccinated pigs. The single dose of LAIV vaccine in the face of MDA also did not prevent shedding of TX98 at 3 dpi, but it led to a statistically significant reduction in nasal titers by 5 dpi, when compared with NV pigs with MDA. Finally, when administered in the face of MDA, both vaccines failed to protect against nasal replication of heterologous CO99, although statistically significant reductions in group mean titers were detected at both time points in the LAIV-vaccinated MDA pigs.

Virus titers were also measured in BALF specimens collected at necropsy, 5 dpi (Fig 4A). BALF collected from NV pigs had mean titers of $10^5$-$10^6$ TCID$_{50}$/ml. Residual MDA appeared to provide a very limited amount of protection against TX98 replication in the lung – approximately a 10-fold reduction in titer – but not against CO99 (Fig 4A). These results are similar to what was observed for nasal titers at 5 dpi, though reductions due to MDA were greater in the nose compared to the lung. BALF samples from MDA-negative WIV and LAIV vaccinees contained no detectable TX98 or CO99, which closely followed the prevention of nasal shedding in these vaccinated groups. Even in the face of MDA, both vaccines significantly
reduced the TX98 virus loads in BALF (Fig. 3A). However, CO99 BALF viral loads were not
reduced in WIV vaccinates when the vaccine was administered in the face of MDA. MDA also
interfered with the efficacy of LAIV against CO99 although less dramatically than for WIV, as
LAIV provided for a significant reduction in BALF CO99 titers.

_Lung pathology_

Challenge with either H3N2 strain caused mild lung pathology in pigs lacking maternal or
vaccine-induced immunity, consistent with previous reports (22, 26). MDA-positive pigs
vaccinated with WIV subsequently developed enhanced macroscopic pneumonia when
challenged with either homologous TX98 or heterologous CO99 (Fig 4B and 5C) as compared to
their respective MDA-negative counterparts. This WIV-associated enhancement was
particularly evident after CO99 challenge, with a group mean of 35% of the lung area affected
with pneumonia. Importantly, no enhancement in macroscopic pneumonia was seen with LAIV
under either MDA condition with either challenge virus and there was a general trend for
reduction in pneumonia. Non-vaccinated and LAIV groups challenged with CO99 had average
pneumonia percentages of 4.2% and 2.2%, respectively. Likewise the LAIV vaccine significantly
reduced the percentage of macroscopic lung pathology in MDA-negative animals challenged
with TX98. In pigs lacking MDA, both of the vaccines were associated with significantly reduced
macroscopic lung pathology following heterologous CO99 challenge. Although TX98-specific
serum HI titers from MDA had largely waned in non-vaccinated pigs by the time of challenge,
macroscopic pneumonia was less extensive in non-vaccinated pigs that had received MDA than
in those without MDA (Fig 3B). This difference in severity of macroscopic lesions corresponded
with a similar trend in BALF viral titer 5 dpi (Fig. 4A).
When administered to MDA-negative animals, both vaccines showed protective effects against both challenge viruses with respect to microscopic lung lesion scores (Fig 4C). The protective effects of LAIV against both challenge viruses, which did not reach statistical significance, appeared to be maintained when the vaccine was given to MDA-positive animals. However, as with macroscopic pneumonia, there were distinctly different outcomes when MDA-positive, WIV-vaccinated pigs were challenged with homologous TX98 versus heterologous CO99. Those vaccinated with WIV in the face of MDA, then challenged with TX98, had microscopic lesion scores not different from the non-vaccinated MDA-positive group. In contrast, WIV vaccination of MDA-positive pigs not only failed to provide protection against heterologous CO99, but a significant enhancement in lesion severity was demonstrated microscopically, paralleling the dramatic difference that was seen macroscopically. Importantly, LAIV did not contribute to enhanced microscopic lesion severity with either challenge virus.

**Tracheal pathology**

Regardless of MDA status, TX98 tended to induce more tracheal lesions than CO99 (Fig. 4D). In MDA negative pigs, both vaccines provided statistically significant reduction of these TX98 lesions, and in MDA positive pigs, LAIV still significantly reduced TX98-induced tracheal damage. However, tracheal damage was sharply higher in pigs that received WIV vaccine in the face of MDA and then were challenged with CO99. Thus, the overall enhanced respiratory disease from heterologous infection of the MDA-positive WIV vaccinates was clearly evident in the trachea as well as in the lung.
Discussion

The antigenic diversity of contemporary and emerging IAV strains is a major obstacle to effective and reliable vaccines for swine (16). IAV vaccines currently licensed around the world contain inactivated viral antigens representing H1N1, H3N2, H1N2, and 2009 pandemic H1N1 strains (12). Inactivated IAV vaccines elicit systemic neutralizing antibodies and protection against homologous challenge, but their efficacy against antigenically distinct strains is often diminished (1, 9). Intranasal vaccination with an attenuated virus is considered likely to elicit more cross-reactive T cells and mucosal antibodies against antigenically variant strains (12).

Several attenuated viral constructs made by targeted genetic mutations have been tested in recent years (13, 18, 24). We previously reported the immunogenicity and protective efficacy of TX98 virus attenuated by truncation of the NS1 gene, which encodes a type I interferon antagonist protein (23, 26). This virus (identical to LAIV in the present report) was shown to have attenuated replication in the upper respiratory tract. When administered as an intranasal vaccine to young seronegative pigs, the TX98 LAIV elicited a mucosal IgA response, modest titers of serum HI antibodies, and antigen-specific T cells, while conferring protection against homologous challenge and a degree of cross-protection against variant strains with a single or two-dose regimen (7, 26). Our previous work indicated that two intranasal applications of the LAIV did not confer any benefit against homologous challenge compared to one dose (26) and one dose would be highly preferred for use in the swine population. Here we show that a single dose was highly efficacious against the homologous TX98 and heterologous CO99 in MDA-negative pigs. Although future studies are necessary to investigate whether two doses would
improve efficacy in the presence of MDA, the impact of the findings of this study come from the
differences between WIV and LAIV in the face of MDA with heterologous challenge.

One aim of the present study was to compare the efficacy of intranasal LAIV versus
intramuscular WIV vaccination in seronegative pigs. WIV vaccination induced high serum HI
titers to the homologous antigen, while HI responses following LAIV vaccination were weak or
below detection limits. Even before the WIV vaccinees were boosted with a second dose, they
achieved higher HI titers than LAIV vaccinees (Fig. 1A). Cross-reactive HI antibody titers against
heterologous CO99 tended to be 4- to 16-fold lower than homologous titers, and these were
only detectable in WIV vaccinees. Despite the marked differences in serological responses, both
vaccines supplied significant protection in the absence of MDA against the replication of not
only homologous TX98 but also heterologous CO99. Based on these data, protective immunity
induced by LAIV vaccination was likely mediated by T cells and/or mucosal antibodies, and here
we demonstrate a robust IgA response in the lower respiratory tract when the LAIV was
administered in the absence of MDA. Higher levels of IgG in the serum were also detected at 5
dpi, indicating a cross-reacting boost of antibody to the CO99 virus exposure. It is not clear if
the higher levels of serum (and mucosal) antibodies to CO99 at 5 dpi in the MDA-negative pigs
were specific only to the CO99 challenge virus or if the CO99 challenge boosted the TX98
primed response, particularly against epitopes that are shared between TX98 and CO99. While
there was no evidence of virus replication, the immune system had likely formed prior
immunity against common epitopes contained in the LAIV vaccine virus that may have been
boosted upon exposure to the CO99 virus. The protection provided by WIV vaccine against
CO99 in the non-MDA pigs was surprising, since previous studies reported limited HI cross-
reactivity between TX98 and CO99 (22) and the geometric mean cross-reactive HI titer at the
time of challenge in this study was 61, about ten-fold lower than the geometric mean
homologous HI titer to TX98. The cross-reacting HI antibodies and mucosal IgG antibodies
detected at 5 dpi are likely to have played a role in the heterologous protection, perhaps
enabled by the magnitude of the systemic antibody response to WIV. Cross-reactive T-cells
primed by the WIV may have also contributed to the protective effect against the heterologous
CO99 infection. Consistent with this, a similarly formulated H1N2 WIV vaccine was shown to
prime T cells in antibody-negative young pigs (7).

IAV vaccination of sows is a widespread practice in North American swine herds (3). This
presents a second practical problem concerning IAV vaccines in the swine industry: antibodies
transferred in colostrum from sows to their litters can interfere with subsequent vaccination of
the piglets (8) and are often poorly matched to viruses circulating on the sow farm or in down-
stream production stages. Colostrum-borne maternal antibodies, which are predominantly IgG,
are not expected to infiltrate the nasal mucosa of the upper respiratory tract, so we
hypothesized that the LAIV vaccine would be less sensitive to inhibition by MDA. Our serological
results demonstrated that MDA indeed prevented pigs from mounting active HI antibody
responses to WIV vaccine (Fig. 1A). Despite this, WIV vaccine administered in the face of MDA
still provided significant protection against homologous TX98 challenge, including decreased
nasal shedding (Fig. 3) and replication in the lung (Fig. 4A), but did not prevent damage to the
lower respiratory tract (Fig. 4B-D). This pointed again to the possibility that the adjuvanted WIV
in the face of MDA primed a cellular immune response that contributed to protection against
homologous virus. Moderate T-cell priming was demonstrated in pigs vaccinated with a similar formulation of inactivated H1N2 IAV (7).

Critically, though, there was a pronounced failure of WIV vaccination in MDA-positive pigs that were challenged with heterologous CO99. In this group, the vaccine failed to reduce viral replication in nasal passages and the viral load in BALF (Fig. 3 and 4A). Strikingly, macroscopic lung lesions were exacerbated (Fig. 4B and 5C) in a manner similar to another VAERD model (4, 5, 25). Composite microscopic lung and tracheal lesions (Fig. 4 C-D) were enhanced and similar in character to VAERD lesions previously reported (4). In sharp contrast, there was no enhancement of pathologic lung changes in the MDA-positive group vaccinated with LAIV; in fact, LAIV was partially protective against CO99 in terms of reducing lung damage and viral load on 5 dpi (Fig. 4 and Fig. 5). Thus, although WIV and LAIV had similar efficacy in naïve pigs, the presence of MDA titers at the time of vaccination followed with heterologous viral challenge produced sharply different outcomes between the two vaccines. The abrogation of the LAIV-induced IgA response in the lower respiratory tract (Fig. 2D) may explain the reduction in efficacy in the MDA-positive pigs compared to LAIV given to MDA-negative pigs. Evaluation of the antibody profile in the upper respiratory tract (nasal mucosa) was not conducted in this study but should be considered in future studies to understand how MDA interferes with the LAIV-induced mucosal antibody response and if the inhibition is limited to the lower respiratory tract. We speculate that the cellular immune response to LAIV in MDA-positive pigs was a key factor in cross-protection, since no lung IgA or cross-reacting serum HI antibodies were evident above the limits of detection. It is also unclear if there was a role for the presumably non-neutralizing IgG in the lungs of MDA-positive WIV vaccinates with VAERD
following heterologous challenge with CO99 in comparison to the absence of IgG in the lungs of MDA-positive LAIV vaccinates without VAERD.

In a previous study, MDA-positive pigs that received a bivalent IAV vaccine containing inactivated classical H1N1 were primed for enhanced pneumonia upon heterologous H1N1 challenge, whereas vaccination of MDA-negative pigs provided cross-protection (8). This detrimental interaction between passive immunity and WIV vaccination parallels what we observed in the present study with H3N2 viruses. Although the earlier study did not include an LAIV treatment group for comparison, it did show evidence that intramuscular vaccination with an inactivated virus administered in the face of MDA was ineffective at priming protective T-cell memory. The mechanism(s) responsible for the enhancement of respiratory disease in our model is not completely clear. It can be hypothesized that MDA’s bind to vaccine antigen and the method of antigen processing and presentation is different than when vaccine antigen is not bound to antibody (seronegative pig). This change in antigen uptake and subsequent presentation may alter the adaptive immune response (both humoral and cell-mediated) in the piglet, possibly directing it away from neutralizing epitopes to conserved, albeit non-neutralizing epitopes shared between the vaccine virus and challenge virus. After 2 doses of WIV, HI antibodies against neither TX98 nor CO99 were detected in MDA-positive pigs, whereas HI antibodies against both were detected in MDA-negative pigs. Although there was no HI antibody response in MDA-positive pigs receiving WIV, there appeared to be a modest increase in total IgG specific to TX98 in the serum prior to challenge (Fig. 1C). Total IgG was also present in the lung at 5 dpi (Fig. 2 A and B) when there was still no detectable HI response in the serum in this group (data not shown). This indicates that MDA interfered with the induction of
neutralizing HI antibodies by WIV. Upon challenge with the heterologous virus, immune complexes may form between non-neutralizing antibodies and challenge virus that trigger inflammatory responses such as those implicated in vaccine-enhanced respiratory syncytial virus (RSV) infection of infants (3). The involvement of antibody in generating immune complexes associated with severe respiratory disease and pulmonary damage has also been described for 2009 pandemic H1N1 influenza disease (15). Roles for specific IgG subclasses have not been clearly defined in the pig; thus, this type of analysis is not available for further interrogation of within type differences in antibody responses induced by WIV vaccination in the face of MDA. However, functional or qualitative differences in the antibodies produced in response to WIV and LAIV in the presence or absence of MDA appear likely to have a critical role in the clinical outcome after infection.

Another hypothesis, though not mutually exclusive, is that differences in antigen processing and presentation of WIV vaccine antigen in MDA-positive pigs may alter the kinetics of the response or may prime a qualitatively different T cell response and these T cells play a role in immunopathology. A different subset of memory T cells may develop in MDA-positive, WIV vaccinated pigs that upon heterologous challenge, when cross-neutralizing antibody is not present, are activated and contribute to pathology, possibly through granzyme release and killing of infected cells. Additional studies are needed to further characterize differences in the antibody and T cell responses that develop following WIV vaccination of MDA-positive and MDA-negative pigs and elucidate the immunopathogenic mechanism of enhanced disease following heterologous challenge.
Collectively, the results of this experiment demonstrate very distinct outcomes of IAV vaccination and infection with heterologous virus, with pivotal factors including the format and route of administration of vaccines, the presence or absence of MDA, and the antigenic similarity of challenge virus to the vaccine strain. The differences go beyond protection versus non-protection, and point to realistic scenarios in the field where vaccinating sows and their piglets could potentiate more severe respiratory disease. This underscores the need to re-evaluate the way in which efficacy studies are designed for swine influenza vaccine licensure for use in pigs in the United States. Methods of IAV vaccine evaluation that focus simply on protection against homologous challenge in seronegative pigs would be unlikely to identify this problem. Determining the immune correlates of protection versus disease exacerbation would significantly aid the improvement of vaccine safety and efficacy under field conditions.

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    live attenuated swine influenza A viruses are immunogenic and confer protection against


Table 1. Study design for comparison of LAIV and WIV vaccines

<table>
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<th>Treatment Group</th>
<th>Status(^1)</th>
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<th>Challenge</th>
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<td>None</td>
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\(^1\) MDA(+) pigs suckled sows which were previously vaccinated with three doses of TX98 WIV.

MDA(-) pigs suckled sows which were not vaccinated against IAV.
Figure 1. Serum antibody levels due to maternal derived antibody and/or response to vaccine. Reciprocal geometric mean hemagglutination inhibition (HI) titers at multiple time points prior to challenge against TX98 H3N2 antigen (A) and against CO99 antigen (B). MDA designates groups with maternally-derived antibody induced by immunizing dams with TX98 vaccine. Treatment groups were non-vaccinated (NV), vaccinated at 0 days post-vaccination (dpv) and 14 dpv with TX98 whole inactivated virus (WIV), or vaccinated intranasally with TX98 live-attenuated influenza virus (LAIV) at 0 dpv only. Mean optical density (O.D.) of serum IgG in whole virus ELISA assays against TX98 antigen (C) and against CO99 antigen (D) at 49 dpv (0 days post infection). Mean optical density (O.D.) of IgG in whole virus ELISA assays at 5 days post challenge against TX98 antigen for groups challenged with TX98 (E) and against CO99 antigen for groups challenged with CO99 (F). Open bars designate groups without MDA and solid bars designate groups with MDA. Statistically significant differences between MDA statuses within a vaccine group are marked with asterisks and differences between vaccine treatment groups with matched MDA status are identified by connecting lines (P < 0.05).

Figure 2. Antibody levels in broncho-alveolar lavage fluid at five days post infection. Mean optical density (O.D.) of IgG in whole virus ELISA assays against TX98 antigen (A) and against CO99 antigen (B) and of IgA against TX98 antigen (C) and against CO99 antigen (D). Groups challenged with TX98 are represented in panels A and C whereas groups challenged with CO99 are represented in panels B and D. Open bars designate groups without MDA and solid bars designate groups with MDA. Statistically significant differences between MDA statuses within a vaccine group are marked with asterisks and differences between vaccine treatment groups...
with matched MDA status and challenge virus strains are identified by connecting lines ($P < 0.05$).

**Figure 3.** A. Nasal shedding of challenge virus at 3 (A) and 5 (B) dpi in nasal swabs (NS). Piglets were vaccinated in the presence or absence of circulating MDA against TX98. At vaccination, piglets received no vaccine (NV), two intramuscular doses of TX98 WIV, or one intranasal dose of TX98 LAIV. Forty-nine days after the initial vaccine dose, piglets were challenged by intratracheal inoculation with TX98 or CO99. Nasal swab specimens were collected from 3 and 5 days post-infection (dpi), and titrated by TCID$_{50}$ assay on MDCK cells. Statistically significant differences between MDA statuses within a vaccine group are marked with asterisks and differences between vaccine treatment groups with matched MDA status and challenge virus strains are identified by connecting lines ($P < 0.05$).

**Figure 4.** Adjuvanted TX98 WIV administered to MDA-positive piglets enhances the severity of subsequent infection with heterologous H3N2 strain CO99, whereas TX98 LAIV vaccine partially cross-protects. MDA-positive pigs suckled colostrum from TX98-vaccinated sows and MDA-negative pigs suckled from naïve sows. WIV was delivered intramuscularly at 2 and 4 weeks of age, while LAIV was delivered intranasally only at 2 weeks of age. At 8 weeks of age (49 dpv), pigs were challenged intratracheally with TX98 or CO99. At 5 days post-infection (dpi), pigs were euthanized, BALF samples were collected, and necropsy was conducted. BALF samples were titrated by TCID$_{50}$ assay on MDCK cells (A). Macrophagic lesions were scored as the percentage of total lung surface area involved (B). Microscopic pneumonia (C) and tracheal damage (D) were scored as described in Materials and Methods. Statistically significant differences between MDA statuses within a vaccine group are marked with asterisks and
differences between vaccine treatment groups with matched MDA status and challenge virus strains are identified by connecting lines ($P < 0.05$).

Figure 5. Photographs of macroscopic lung pathology in pigs positive for MDA at the time of vaccination, shown 5 days after heterologous challenge with CO99. Photographs of ventral surfaces of lungs are representative of three vaccine treatment groups: non-vaccinated challenge controls (A); TX98 LAIV-vaccinated pigs (B); and TX98 WIV-vaccinated pigs (C).