



EXECUTIVE SUMMARY

Investigating ecosystem dynamics at a watershed level

A White Paper produced from a conference sponsored by the Soil and Water Conservation Society held in Athens GA, April 13-16, 1997

Jean L. Steiner, Alan J. Franzluebbers, L. Mark Risse, Philip A. Moore, Jr., Charles A. Francis, Joyce Scheyer, Cornelia B. Flora, Rhonda R. Janke, William G. Deutsch, Stephen Gasteyer, Judy A. Folk, William Upshaw

In the winter of 1995-1996, the Soil and Water Conservation Society established an Issue Survey Task Force to identify natural resource issues that should be addressed and activities that would be appropriate to achieve the Society's mission. One issue identified was that "Improvements are needed in capabilities to identify and to address natural resource management issues in a holistic manner (ecosystem/watershed/whole farm/new partnerships) with an objective of sustain-

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able resource use." The task force recommended that an interdisciplinary cadre of scientists and practitioners be assembled to (i) review what is known about ecosystem dynamics as it relates to the sustainability of soil and water resources, (ii) determine what needs to be understood so that soil and water resources can be effectively managed to sustain ecological integrity while maintaining economic livelihoods, and (iii) develop a white paper for distribution to policy makers and research and education institutions. The staff of the USDA Agricultural Research Service in Watkinsville, GA, proposed to the board of directors to organize and host a conference "Interactions: Investigating Ecosystem Dynamics at a Watershed Level" in collaboration with the Society. The conference goals were to:

1) Provide a forum for research scientists, land owners, agricultural advisors, policy makers, and others to discuss issues surrounding the topic "ecosystem dynamics at the watershed level."

2) Identify research, information, program, and policy needs at local, regional, national, and international levels to support this approach to land management.

The program included a mix of plenary sessions that highlighted issues to be addressed; poster sessions that gave examples of current projects, approaches to integrated team projects, and findings from diverse environments; facilitated breakout sessions for idea generation and synthesis of issues raised in the plenary and poster sessions; and conference tours that highlighted research, education, and agricultural activities within Southern Piedmont watersheds. Each breakout team included a writing team member who was responsible to capture key ideas and help incorporate those ideas into this white paper. The white paper is an integrated product of all who participated in the conference. Because the conference attracted participants primarily from the USA, that perspective predominates throughout the white paper. Still, the ideas and concepts have relevance to systems in many environments.

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Soil and Water Conservation Society, and cosponsored by Soil Science Society of America, USDA Natural Resources Conservation Service (Watershed Science Institute, Grazing Lands Technology Institute, Social Sciences Institute, and Soil Quality Institute), USDA Agricultural Research Service, Tennessee Valley Authority, Cooperative Research Education Extension and Economic Service, Monsanto, US Environmental Protection Agency, Conservation Technology Information Center, Georgia Chapter of the Soil and Water Conservation Society, Univ. of Georgia College of Agricultural and Environmental Sciences, and Oconee County (Georgia) Chamber of Commerce. The financial and organizational support of conference Sponsors is gratefully acknowledged.

Jean L. Steiner, conference organizer

Ecosystem analysis at a watershed level

Healthy ecosystems require that economic, environmental, and social outcomes be adequately addressed, periodically reevaluated, and kept in balance. To develop and manage sustainable landscapes with multiple uses we need systems approaches that address dynamic characteristics of people and their environments as a whole and includes multiple feedback loops as integral to the process.

A watershed provides a practical scale for systems research and management, because boundaries can be defined and participants recognize their interrelatedness with others who share a water supply. It is essential to identify broad-based stakeholders, get them involved early, and maintain an open process so additional stakeholders can become involved. In holistic approaches to management, plans and actions are rooted in stakeholders' values and must address their highest priority goals. Time required to build participation, communication, and trust pays off through efficient solutions to shared problems.

Research needs. Traditionally, animal scientists have studied animals, soil scientists have studied soils, plant scientists have studied plants, limnologists have studied water, atmospheric scientists have studied air; seldom have natural resource scientists collectively studied the whole system. Integration of natural resource with socioeconomic sciences is even rarer. Although we are obtaining increasingly detailed information on components of ecosystems, we need to comprehensively understand the structure of agriculture and effects of management on the entire ecosystem.

Economic theory as a whole is insufficient when dealing with things that have non-monetary value. We need an economic theory that balances (i) the value of ecological services of a watershed, (ii) environmental improvement, (iii) societal/cultural needs, and (iv) the ability to achieve financial goals. Although difficult, analyses that document inputs and outputs across political and watershed boundaries are needed to determine if practices are "good" for society as a whole and to determine types and quantity of incentives that could be provided.

Models provide a way to organize and communicate current understanding of key processes and interactions in a system. We need more complete conceptual and mathematical models that describe watershed processes and support informed decision making by stakeholders, but our understanding and data bases to construct such models are sparse and can only be addressed by comprehensive studies of ecosystem and watershed processes. Baseline data that measure quality of life, environmental quality, and ecosystem health are needed to provide indicators based on outcomes of an investment or action.

Education needs. Information concerning natural resources and ecosystem functions must be addressed to a broad-based community of stakeholders, including non-traditional audiences such as urban and suburban homeowners, the elderly, small businesses, and others. Knowledge needs to be packaged in practical ways such as "best management practices" for households, communities, agricultural lands, and forestry to encourage people to consider change.

We need watershed-level educational programs to provide a critical link between research and application. This should include curricula for (i) lifelong environmental education, (ii) training the trainers on how to motivate a community to action, and (iii) a watershed and

ecosystem focus for K-12 and university students.

Policy needs. Stakeholders of the system being managed should have primary responsibility to define goals and develop policies. While broad national policies for ecosystem protection are needed, successful implementation depends on stakeholders' values that may differ among regions.

Everyone supports ecosystem protection in some way, but individuals differ on points such as who should pay the costs and how much negative economic impact can be tolerated at the expense of ecosystem protection. We need "accounting" systems to compare monetary and non-monetary values, and to balance short-term and long-term economic or ecologic benefits.

Societal response to uncertainty about impacts has been to accept risks of negative impacts that we might be able to get by with. An alternative proposal based on the "precautionary principle" recognizes that there will always be uncertainty in quantifying impacts of pollutants within ecosystems, and that uncertainty should move us, as a society, to act with caution in protecting ecosystem function, rather than risking what we might be able to get by with.

Setting the direction for integrated natural resource systems management.

In defining an agenda to support integrated ecosystem and watershed management, it is important to assess (i) what we know and the impacts of what we do; (ii) what we do not know but need to know to develop more sustainable systems; and (iii) what elements are important but inherently unknowable. We know that a factory model of production has caused many problems when applied to agricultural systems and that there are examples of more ecological approaches to farming that have succeeded in all parts of the world. We do not know how to implement and assess environmental quality impacts of agricultural systems using outcome-based, rather than design-based, standards. We will never be able to forecast future societal preferences, surprises, or the future "vision" that will drive agriculture or other production systems. To prepare for the "unknowable", we need strategies for a diversity of possible futures with adaptability to respond to social and environmental surprises.

Broad-based stakeholder involvement. The power of stakeholder involvement is starting to be recognized and institutionalized in agricultural and natural resource management programs. For

emerging problems within ecosystems and watersheds that transcend the agricultural sector, additional stakeholders will need to be brought into the process, representing interests of all impacted by natural resource management. Research and management systems that are designed together should be monitored and evaluated together and stakeholder involvement is required from the outset.

Integrated research, education, and management. A systems approach provides a strategy to cope with ecosystem dynamics within watersheds. Such programs have high start-up costs, particularly in the time involved in establishing a stakeholder network, building trust that allows the group consensus process to succeed, and compiling baseline information. Monitoring impacts of change is also expensive. Concurrent research and education within natural resource management efforts could leverage limited resources for maximum impact and effectiveness. Institutional leaders should develop reward systems that encourage, rather than penalize, risk-taking researchers, educators, and practitioners who act "outside the box."

Hierarchical research programs. Just as ecosystems are hierarchical, there is a need for hierarchical research programs. Shorter-term studies to address different questions can often be embedded within long-term experiments. When the goal is to study the system as a whole, but there is a critical lack of understanding of a particular process within the system, it may be efficient to design a component study within the larger integrated study. A key is to ensure appropriate linkages within hierarchies.

Interagency cooperation and communication. Problems addressed within a watershed exceed the scope and mandate of any single local, state, or federal agency, so communication is needed across societal and agency lines. While many people work hard to achieve cooperation, there is so much information coming from so many different places that a systematic approach is needed to enhance quick and complete communication about diverse activities within a given watershed.

For a copy of the full text of this white paper contact SWCS, 7515 NE Ankeny Road, Ankeny, Iowa 50021-9764, attention Charlie Persinger.

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Foreword

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20 Jean L. Steiner

21 Conference Organizer

22 November, 1997

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A watershed provides a practical scale for systems research and management, because boundaries can be defined and participants recognize their interrelatedness with others who share a water supply. It is essential to identify broad-based stakeholders, get them involved early, and maintain an open process so additional stakeholders can become involved. In holistic approaches to management, plans and actions are rooted in stakeholders' values and must address their highest priority goals. Time required to build participation, communication, and trust pays off through efficient solutions to shared problems.

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15 different places that a systematic approach is needed to enhance quick and complete
16 communication about diverse activities within a given watershed.

1 **Agroecosystem research using a holistic approach**

2 ***Increased Environmental Awareness.*** Food and fiber production in the USA
3 has never been greater and the retail cost of these products to consumers has never
4 been more affordable than during the past three decades. Agricultural scientists have
5 made enormous contributions to this phenomenal accomplishment. With less labor and
6 fewer people directly involved in food and fiber production, more of the average
7 American's time and money can now be spent on non-subsistence activities, including
8 recreation such as water sports, appreciating nature and its beauty, and traveling.
9 Ironically, because of the changes in agricultural and natural resource management
10 practices and liberation from subsistence living, there is now an increased awareness of
11 negative impacts of high-input farming, clear-cut forestry, mining of natural resources,
12 industrial development, and urbanization on the water, air, and soil resources that all
13 people depend on to maintain high quality of life. Degradation of water, air, and soils
14 has occurred because environmental outcomes were not recognized as essential parts
15 of the agronomic and economic objectives to produce more food and fiber for greater
16 profit.

17 Increased environmental awareness is an important learning step toward people's
18 acceptance of the concept of holism and the need to balance production and resource
19 conservation. An ecosystem is a discrete unit that consists of living and non-living
20 parts, interacting to form a stable system. The idea of holism recognizes that important
21 properties of a system emerge from interactions among components, and therefore,
22 you can not understand how a system functions by simply focusing on the components.

1 This is sometimes expressed as “the whole is more than the sum of the parts.”

2 Ecosystems exist at many hierarchical scales and the boundaries are often difficult to
3 delineate. Ecosystems function through distinct processes such as flow of energy
4 through food-chains and cycling of nutrients. An important type of ecosystem is an
5 agroecosystem, in which agriculture is an important component. In an agroecosystem,
6 as in other systems with high levels of human management, economic productivity and
7 processes become important in addition to the biological productivity and processes
8 important to all ecosystems. Healthy, diversified agroecosystems require that
9 economic, environmental, and social outcomes are adequately addressed, periodically
10 reevaluated, and kept in balance. The merit of resource use for human purposes
11 needs to be assessed by all interested parties in terms of social goals and the fate of
12 resources.

13 ***Developing a new research paradigm.*** Traditionally, research has been
14 implemented through a linear process consisting of basic research through applied
15 research leading to technology transfer and education. There is little intrinsically wrong
16 with this approach, but the process is often slow and tends to be narrowly focused, with
17 collateral issues of substance receiving little attention. Complex problems often need
18 simultaneous focus on basic and applied research and application across diverse
19 disciplines. The public is demanding that research results be applied to problem
20 solving more quickly than was common in the past. If we want to develop and manage
21 sustainable landscapes with multiple uses we would be better served with a systems
22 research approach that focuses on interactions. This strategy addresses dynamic

1 characteristics of people and their environments as a whole, and includes multiple feed-
2 back loops as integral to the process. Linear and systems approaches lead to different
3 interpretations of reality that greatly influence the types of research questions. They
4 need not be mutually exclusive, but could be complementary.

5 There are diverse approaches to systems research, but, in general, broader-
6 based systems research is quite different from the linear model of research, particularly
7 in (i) problem identification, (ii) partnership building and goal setting, (iii) communication,
8 and (iv) participatory challenges. As discussed in another section, stakeholders must
9 be identified and involved in identifying problems and potential causal relationships as
10 well as setting priorities in what to address and envisioning the outcome. State-of-
11 knowledge and available technology must be adequately evaluated in the quest to
12 decide on priorities. Once researchable questions of high priority and concern to
13 stakeholders have been developed, research goals must be implemented. This
14 requires evaluating available resources, defining roles and responsibilities of
15 participants, and setting timelines. A participatory approach that links multiple, specific
16 research components to a common theme can help to divide responsibilities into
17 manageable elements within the framework of defining and achieving goals.

18 Because research and its application continually unearth new questions,
19 communication within the team must be an ongoing and evolutionary process.
20 Problems, team building, and goal setting are periodically evaluated and prioritized in
21 order to address relevant issues. Related ideas can be clustered and acted upon in
22 unison to optimize the use of scarce resources. To derive the most impact and support

1 from concerned stakeholders, consensus-building should be a key component of the
2 systems approach of conducting research to help solve problems.

3 Important challenges face those using a systems research approach. Cross-
4 disciplinary communication and understanding is required for effective collaboration.
5 Issues of spatial and temporal scales are important when transferring knowledge from
6 one hierarchical level of an ecosystem to another. Processes interact, but the points at
7 which they have the greatest influence on the ecosystem need to be understood.
8 Often, processes or factors that remain unknown are treated as "black boxes," yet
9 understanding them may be the key to much greater system-level advances. Because
10 stakeholders are numerous, the diverse objectives they bring can result in conflict,
11 leading to the need to establish priorities while building consensus among participants.

12 ***Recognizing ecological principles.*** Ecosystems are composed of organisms
13 (humans, large and small animals, plants, and microorganisms) assembled together
14 within their environment. In general terms, the environment is composed of matter and
15 energy. Matter is never lost, only recycled from one form to another. Depending upon
16 the boundaries, matter can be transported in or out of the system, and continually flows
17 among system components as the ecosystem functions. Energy, however, flows
18 through the system unidirectionally from solar radiation to chemical storage via
19 photosynthetic organisms and later is released after use by animals and
20 microorganisms. In some cases, the ultimate release of chemically-stored energy may
21 be much later, e.g., fossil fuels. In many cases, energy leaves the system in a bound
22 form, such as exported agricultural or forestry products, and some ends up in landfills

1 for future archeologists to discover.

2 Healthy, functioning ecosystems are composed of a multitude of interactions
3 among the biotic and abiotic components. Organisms continually respond to and alter
4 their environment. These evolutionary facets of ecosystems defy the concept of
5 equilibrium; i.e., in the long-term, change is inevitable. Steady-state conditions can be
6 realized only in relatively short time frames, with the time frame lasting a few seconds
7 for some processes to millennia for others. Humankind has always changed its
8 environment, but attention to ecological principles when addressing human goals can
9 help avert disasters and lead to long-term sustainability of earth's resources.

10 Because matter is never lost, but merely transformed and relocated within the
11 environment, several ecologically-based concerns about current land management
12 deserve attention. Concentration of industries and farm animals into limited geographic
13 areas without adequate attention to the build-up of by-products results from a short-
14 term vision that does not recognize the implications of these accumulations. For
15 example, feed grain is produced in midwestern USA, shipped to large-scale animal
16 operations in surrounding regions, meat shipped primarily to urban centers in the
17 eastern USA, and the manure left in the hands of animal producers who have often
18 limited land available to redistribute the nutrients and no economic incentive to do so.
19 Nutrient losses from surface runoff and leaching can then lead to eutrophication and
20 contamination of water supplies. Export of grain from midwestern USA requires
21 replenishment of nutrients to the soil, which could have been supplied, at least in part,
22 by animal manure if animal feeding operations were integrated into the local economy,

1 rather than separated geographically.

2 In addition, the ecological principle of capturing energy from sunlight and storing
3 energy and nutrients within plants has not been utilized to its fullest in modern
4 agroecosystems, which have relied on fossil fuel inputs to generate high productivity.
5 For example, cover crops offer great potential to store energy and excess nutrients
6 during winter, but are not widely used in USA agriculture. This leaves nutrients
7 susceptible to leaching into groundwater where they may contaminate drinking
8 supplies. Maintaining vegetative cover on the soil decreases soil erosion, increases soil
9 microbial activity and conserves nutrients within the active organic-inorganic cycle, and
10 reduces runoff of water, nutrients, and pesticides, all of which contribute to
11 sustainability of the soil resource.

12 Balance is critical for ecosystems to survive and to be sustainable. Contamination
13 of the environment changes the type and quantity of organisms that are able to function
14 within the ecosystem, whether such contamination is from nutrients and sediment in
15 water, heavy metals and pesticides in soil, or smog and trace gases in air. Because
16 organisms cycle matter in the environment, human-induced environmental limitations
17 contribute to the excesses of matter that, for example, shift aquatic biologic activity
18 toward lower trophic level organisms.

19 ***Suitability of ecosystem analysis at a watershed level.*** Ecosystems can be
20 defined at many scales, i.e. a soil pore, a field, a farm, a watershed, a geographic
21 region, a continent, or the earth. A watershed level is often an appropriate scale for
22 natural resource management and research. Water flows along channels within a

1 watershed and converges at a common mouth. People and other organisms within the
2 watershed are more commonly affected by activities of others within that watershed
3 than within larger areas. At a watershed level, impacts of land management on water
4 quality can be identified in the water leaving the watershed. A watershed exhibits a
5 range of land uses that also typically interact at larger scales. Mutual interdependence
6 is clear within watersheds, although specific interactions differ for each farm, industry,
7 or consumer.

8 For some natural resource problems, watersheds may not be the most appropriate
9 scale. Watersheds often cross political and social boundaries and this must be
10 accounted for. Some problems of nutrients, odors, and water transfer can be
11 addressed at the farm level, but interactivity with neighboring land uses that impact, but
12 are not controlled by, the farm need to be addressed. Some issues, such as massive
13 nutrient transfers between regions inherent to agricultural production systems that are
14 dominant in the USA and many other countries, should be addressed at the regional,
15 national, or global scale.

16 17 **Approaches and tools**

18 ***Initiating ecosystem projects at watershed scales.*** When the watershed is
19 selected as an appropriate and preferred unit of ecosystem management, a broad base
20 of stakeholders must be involved from the inception. A stakeholder is any person or
21 group with an interest or a stake in the health of the watershed or ecosystem being
22 addressed. Some stakeholders live in the watershed, some do not. Some earn their

1 living in the watershed. Stakeholders may have an interest because they own land;
2 because of ancestral links; because they value the recreational, wildlife, or aesthetic
3 aspects of the watershed; because they consume food produced in the watershed; or
4 because they have commerce impacted by the watershed. Other species and future
5 generations of humans are also stakeholders in today's management systems; their
6 interests are addressed because a living, human stakeholder deeply believes in the
7 value of their interests. A key requirement for success is identifying stakeholders,
8 getting them involved early, and maintaining an open process so that persons not
9 initially identified as stakeholders have the opportunity to become involved.

10 Participation of land owners as key stakeholders is essential, since management
11 decisions about a specific parcel of land are linked to land ownership.

12 It is essential to clearly define the boundaries of the system to be managed; key
13 land uses, communities, and activities included in the watershed; and major factors that
14 influence or are influenced by the condition of the watershed. The entire process must
15 be iterative since physical or biological features may change or may not readily be
16 recognized as important. Additional stakeholders may be identified who need to be
17 engaged at various stages.

18 A key characteristic of holistic approaches to management, and also research, is
19 the recognition that plans and actions are rooted in the values of the stakeholders and
20 address their highest priority goals within limitations of available resources.

21 Stakeholders come to the table with diverse and sometimes conflicting perceptions,
22 values, and objectives. However, there are common values among stakeholders that

1 make it possible to identify shared goals and move forward. Respect for individuals
2 with different views is key to the success of this process. At the beginning, issues to be
3 addressed should be brought into the open, often through brainstorming sessions
4 where all ideas should be accepted and recorded without judgement. The next step is
5 to cluster and identify common threads among stakeholders. As the boundaries of the
6 system increase from relatively local to regional, national, or global levels, common
7 values generally become broader (e.g., the well-being of grandchildren now and into
8 the future, good health, a safe and secure food supply).

9 Many projects fail as a result of improperly handled controversy arising from lack
10 of communication and cooperation among stakeholders. A common error that leads to
11 controversy is categorization of stakeholders. Stakeholders “defined” as part of an
12 interest group are more apt to recognize common values within that group rather than
13 realizing that many other groups hold common values. For instance, an agricultural
14 stakeholder may appear to have goals that conflict with those of an environmental
15 stakeholder, when in fact, both want to protect water quality, even though they may
16 disagree on the degree, method, and reasons for protecting water quality. Broad-based
17 values, problems, and goals of the group as a whole should be developed through a
18 consensus process as the basis for research or management activities. This being
19 said, it should be recognized that this is not an easy process, but takes the form of a
20 negotiation.

21 Thorough and creative evaluation of available resources (natural, human,
22 financial, and social) to address problems is needed (Figure 1). It takes time to build

1 community participation, communication, and trust, but once that has been achieved,
2 solution of problems through voluntary approaches, based on mutual self-interest and
3 respect within the community, reduces the cost compared to regulatory or judicial
4 approaches. Participatory monitoring and evaluation, with clearly identified indicators of
5 success or lack of success will allow for real time changes as needed.

6 ***Tools for ecosystem analysis at watershed scales.*** Much information and
7 many tools are available to support natural resource management, but may not be
8 easily adapted to watershed-based systems. For instance, extensive soil data exist,
9 but in the USA, soil maps are established on a county or political boundary basis.
10 Numerous data are available on population, demographics, agricultural production,
11 forestry, nutrient use, irrigation, and other important information, but such data are
12 organized along county and state lines. Watersheds often cross jurisdictional lines,
13 such as county, state, or national boundaries, and considerable effort is needed to
14 compile data from numerous sources. The data may have been compiled differently
15 within adjacent areas, causing apparent discontinuities at borders. Most data are not
16 available in electronic geo-referenced formats, which would provide a powerful
17 information management tool. The result is that considerable expense is incurred to
18 prepare data overlays for a given watershed. Information about organisms within
19 watersheds is usually much less complete than information about the physical resource
20 base. Organisms are always changing, they are seasonal and often mobile, and we
21 haven't devoted the same systematic approach toward inventory of species and
22 habitats as we have to inventory of weather, soils, and geology.

1 We have several tools to assess resource conditions and their trends, e.g., the
2 National Resources Inventory. However, such tools may not be well-suited to the
3 desired analysis. Most tools and models are not balanced across all aspects of the
4 system. In some, biophysical relationships are detailed, but socioeconomic
5 relationships are simplistic or lacking and *vice versa*. In agricultural models, ecologic
6 aspects such as spatial variability, competition, succession, and population dynamics
7 are often lacking. Ecologic models frequently lack a human management component.

8 There are numerous approaches to experimental design and statistical analysis
9 that are seldom applied to natural resources and agricultural research. Individual
10 researchers tend to use only a few of the designs available. As we use different
11 approaches to design research around larger and more complex systems, we will often
12 have to accept and learn how to interpret less precise answers that have greater levels
13 of uncertainty associated with the answers.

14 Watershed projects can help bridge between those who do research and
15 stakeholders who may have limited knowledge of the concepts behind research. A
16 stakeholder's belief in the value of the ecosystem, to them personally and as part of a
17 larger society, precedes the stakeholder's commitment to protect or rehabilitate the
18 natural resources. Monitoring effects of management changes and conservation
19 investments often requires volunteer workers and local donations of funds.
20 Unfortunately, community involvement and motivation techniques seem to alienate
21 some scientists, and scientific models of ecosystem dynamics or biodiversity appear to
22 challenge laypeople.

1 **Research, education, and policy needs for ecosystem management**

2 **Research needs.** Traditionally, animal scientists have studied animals, soil
3 scientists have studied soils, plant scientists have studied plants, limnologists have
4 studied water, atmospheric scientists have studied air; seldom have these natural
5 resource scientists collectively studied the whole system. Integration of socioeconomic
6 sciences with natural resource sciences is even rarer. Many scientists hesitate to
7 venture beyond their field of specialization, mainly because reward systems within
8 university, government agencies, and businesses support the *status quo*. In addition,
9 specialists are often given greater respect than generalists. As a result, people usually
10 only examine one or two aspects of extremely complex systems. This myopic approach
11 to research in natural resource management often results in more questions than
12 answers when the research is complete.

13 Although we are obtaining increasingly detailed and needed information on
14 specific effects of management on components of the ecosystem, there is still a great
15 need to comprehensively understand the structure of agriculture and effects of
16 management on the entire ecosystem. Benefits of a particular management strategy to
17 one component of an ecosystem may be detrimental to other components. Yet, we
18 have not devised approaches that allow us to evaluate diverse criteria that are
19 important to different stakeholders.

20 Comprehensive analyses of costs and values are needed on several scales, such
21 as the farm, the watershed, and the ecosystem. Economic theory as a whole is
22 insufficient when dealing with things that have non-monetary value. We need an

1 economic theory that balances (i) the value of ecological services of a watershed, (ii)
2 environmental improvement, (iii) societal/cultural needs, and (iv) the ability to achieve
3 financial goals. Research is needed on the ecosystem value of improved water, soil, or
4 environmental quality to communities or regions, rather than just focusing on costs and
5 benefits to the individual who implements management practices.

6 Although difficult, analyses that document inputs and outputs across political and
7 watershed boundaries are needed to determine if practices are “good” for society as a
8 whole and to determine the type and quantity of incentives that could be provided by
9 local, state or federal agencies. Incentives could include cost-sharing programs that
10 have commonly been used to support natural resource conservation goals, but we also
11 need better understanding of the complex mix of factors that motivate individuals to
12 change their ecosystem management practices.

13 Site-specific, quantitative baseline data that measure quality of life, environmental
14 quality, and ecosystem health are needed provide indicators based on outcomes
15 (change in baseline criteria) of an investment or action. Few data exist to quantify
16 acceptable amounts of pollutants entering watersheds or interactions among air, soil,
17 and water. How can limits be developed for non-point source pollution when the
18 acceptable levels may be unknown? A prime example of this problem is phosphorus.
19 Each year, millions of dollars are spent on research to reduce phosphorus runoff from
20 agricultural fields; yet there are few guidelines, goals, or targets on the levels of
21 phosphorus that specific aquatic systems can assimilate without causing problems.
22 Scientists who study sustainable agriculture typically measure amounts of pollutants in

1 streams or in runoff water, but rarely measure impacts of pollutants. There needs to be
2 more research where cause and effect relationships are established that show the
3 impact of the pollutant in question in the system of interest.

4 We have limited understanding of how management practices perform during
5 episodic weather events and over long time periods. If a management practice does
6 not work during critical periods, such as a big thunderstorm, its value is limited.
7 Likewise, the life expectancy of a management practice should always be evaluated. A
8 constructed wetland may remove phosphorus from swine lagoon effluent extremely well
9 for the first few years, but what happens after 20 years? Also, when a management
10 practice is implemented in a biological system, we must assume we might have made
11 the wrong decision and monitor for the result (e.g., introduction of kudzu or multi-flora
12 rose had many unintended effects that weren't identified until their distribution was
13 wide-spread).

14 There is a need to develop better tools to study interactions within ecosystems, as
15 well as conceptual and mathematical models that describe watershed processes to
16 support informed decision making by the stakeholders. Models provide a way for
17 systems scientists to organize and communicate current understanding of key
18 processes and interactions. Compared to models currently available, future models
19 need to be more balanced across disciplines and be able to deal with extreme events,
20 issues of scale, and transport and feedback across boundaries. Impacts predicted by
21 the models should include not only chemical and biological effects, but economic and
22 social impacts, and should be able to support multiple-objective problem solving (e.g.,

1 decisions that meet economic as well as environmental and social goals; decisions that
2 meet current as well as future needs). Our understanding and data bases to construct
3 such models are very sparse and can only be addressed by comprehensive studies of
4 ecosystem and watershed processes. In this process, it is necessary to realize and
5 define what questions and data requirements are actually necessary. We need to
6 ensure that models are developed and data collected only to meet clearly defined
7 objectives. We should not collect data for data's sake, nor build models, for modeling's
8 sake.

9 ***Education needs.*** Development of effective ecosystem management and policy
10 can be enhanced through education and communication. Letting people know what
11 has worked or failed in other areas, what new technology has been developed recently,
12 and what the status of their ecosystem is will contribute to the quality of policy making
13 and decisions about ecosystem management. Bringing natural resource management
14 to the community level will encourage greater stakeholder participation, show
15 stakeholders how they affect the ecosystem, and promote informed decision-making
16 about natural resources and ecosystems.

17 Consumer education is needed regarding environmental impacts of production
18 practices. In today's production systems, costs are often externalized to achieve
19 profitability. Many consumers choose to pay more for products where costs are not
20 externalized. For example, organic fruits and vegetables cost more, but consumers are
21 often willing to pay increased prices either out of concern for their health or that of the
22 ecosystem. If enough people make similar choices then the market can help bring

1 about change. To do this, the public must have knowledge of different production
2 practices and impacts of these practices on the environment. Individuals also need to
3 be aware of how their own lifestyles impact shared resources within their watersheds
4 (e.g., how their communities handle waste disposal and alternatives for minimization of
5 waste and improved cycling of wastes). Knowledge needs to be packaged in practical
6 ways such as "best management practices" for households, communities, agricultural
7 lands, and forestry to encourage people to consider change.

8 Information concerning natural resources and ecosystem functions must be
9 addressed to a broad-based community of stakeholders, including non-traditional
10 audiences such as urban and suburban homeowners, the elderly, small businesses,
11 and others. In addition, education of traditional audiences must change as user needs
12 change. While the Internet provides an opportunity to reach diverse audiences, do
13 many educators know how to use it to communicate information in a format that meets
14 diverse user objectives? It is important to develop more effective connections between
15 the knowledge base and the communication base. As one participant stated, "... is
16 research on best communication and environmental education approaches a valid
17 research agenda?"

18 In spite of a stated emphasis by many state and federal agencies on whole-farm
19 and large-scale plans and programs, we are only entering the frontier of basic
20 understanding of landscape and watershed function. We design financial support
21 packages and multi-farm activities to promote improved agricultural practices and
22 watershed function, yet these programs are often designed with incomplete data bases.

1 Strong research-application linkages are needed to meet the needs of field technical
2 assistance people. In addition, to facilitate stakeholder involvement and
3 communication, public servants need to learn how to promote communication among
4 people with diverse values, perceptions, and learning styles.

5 There is a clear need for watershed-level integration in formal educational
6 programs at all levels to provide a critical link between research and application. This
7 should include curricula for (i) lifelong environmental education, (ii) training the trainers
8 on how to motivate a community to action, and (iii) a watershed and ecosystem focus
9 for K-12 and university students. Beyond the current strong interest in components of
10 the science curriculum that focus on ecology and environment -- promoting recycling,
11 protecting biodiversity, planting trees -- there is a need to help students understand the
12 fragility of ecosystems and the complexity of human roles in ecosystems.

13 Agroecosystem performance is especially important to human survival because of the
14 need for food in a world with increasing population and decreasing arable land. The
15 lack of understanding of where food comes from, and how this depends on the health of
16 agricultural production ecosystems, provides a substantial challenge to educators
17 planning curricula into the next century. Those with awareness and concern about
18 education on watershed diversity, function, and health have a special obligation to take
19 this special knowledge to the general public, and to promote a stronger emphasis on
20 this topic in our future curricula.

21 ***Policy needs.*** Development of management policies to protect regional
22 ecosystems and their critical functions is needed in a sustainable society. But, who's

1 role is it to define and develop these policies and what should these policies entail?

2 Participants in the conference felt that stakeholders of the system being managed
3 should have primary responsibility. It is important that stakeholders participate in policy
4 development at early stages. While broad national policies for ecosystem protection
5 are needed, successful implementation depends on stakeholders' values that may differ
6 among regions. Clearly, more policy needs to be implemented at the local level, and
7 public agencies should work as partners or facilitators rather than regulators to ensure
8 that all the stakeholders are represented. Although everyone in a watershed is affected
9 by ecosystem dynamics, those who are directly affected are most prone to act. Locally
10 led conservation requires that interests of all key stakeholders be addressed and that
11 the group be focused on win-win solutions. Public servants need strategies that
12 encourage stakeholders to be pro-active in policy development, rather than developing
13 policies and waiting for the stakeholders to react.

14 Regulation will be needed in some cases, but it should come from local societal
15 pressures in support of the broad principle of "polluter pays". There have been
16 success stories whereby volunteer citizens were able to positively influence water-
17 quality policy at the local and state level.

18 Everyone supports ecosystem protection in some way, but individuals differ on
19 points such as who should pay the costs and how much negative economic impact can
20 be tolerated at the expense of ecosystem protection. We need policies that address
21 socioeconomic and natural resource costs and values, and that identify who benefits
22 and who pays. This is required to determine returns on environmental investments and

1 would influence how we finance and support watershed and ecosystem protection
2 practices. If individuals are expected to make management changes that provide
3 benefits to society at large, then equitable compensation should be ensured. The
4 traditional cost/benefit analysis approach has failed to support sound ecological
5 management, because the system allows individuals or groups to realize the economic
6 benefits of an action while leaving society at large to absorb some of the financial and
7 environmental costs of that action. We have no effective system to compare monetary
8 and non-monetary values, and short-term economic benefits have been given far
9 greater weight than long-term economic or ecologic benefits.

10 Our response to uncertainty about impacts has been to accept risks of negative
11 impacts that we might be able to get by with. An alternative proposal based on the
12 "precautionary principle" recognizes that there will always be uncertainty when we try to
13 quantify impacts of pollutants within ecosystems, and that uncertainty should move us,
14 as a society, to act with caution in protecting ecosystem function, rather than trying to
15 risk what we might be able to get by with.

16 The right to drink clean water, breath clean air, and enjoy a healthy environment is
17 valued by individuals. As one conference speaker stated, "Everyone has the right to
18 not be poisoned". Effective policies should reward and promote activities that ensure
19 environmental quality while achieving a diverse array of goals. Many times people
20 believe that to do something in an environmentally "friendly" way will hurt them
21 economically. Before individuals voluntarily change their practices, they must be shown
22 that they will not suffer the anticipated economic loss, that they will gain environmental

1 or social benefits worth the economic cost, or that others who benefit from the
2 environmental gain will help bear the economic costs. In some cases, stakeholders
3 may be willing to invest resources into "ideal" systems that would be highly sustainable,
4 but require major social or cultural changes. In other cases, changes that temporarily
5 prevent some problems but do not provide long term solutions will be the only option
6 that all stakeholders can agree upon. Policies need to be analyzed to determine if they
7 are treating causes or symptoms.

8 Stakeholders must realize that effective policies and ecosystem improvement are
9 not developed overnight. In an iterative process, we make changes in the system in
10 response to a problem, we monitor and evaluate these changes, and from this, future
11 improvements are proposed. New knowledge and technological advances may have a
12 profound effect on the way a system functions and on the program needs. Public
13 awareness and communication are essential feedback loops in the iterative process.

14

15 **Setting the Direction for Integrated Natural Resource Systems Management**

16 In defining a research, education, and policy agenda to support integrated
17 ecosystem and watershed management, it is important to assess (i) what we know and
18 the impacts of what we do; (ii) what we do not know but need to know to develop more
19 sustainable systems; and (iii) what elements are important but inherently unknowable.
20 Many current agricultural systems have not achieved the goal of sustainability and in
21 many cases we know the principles of how to solve major problems. For instance, we
22 know that soils erode when exposed to high intensity rainfall and that crop residues or

1 growing plants protect the soil surface from erosion by from high intensity rainfall. We
2 know that nutrients applied to agricultural fields move across or through soils and
3 contaminate water sources and that these losses can often be reduced through the use
4 of nutrient management plans. We also know that the industrial or factory model of
5 production has caused many problems when applied to agricultural systems and that
6 there are many examples of more ecological approaches to farming that have
7 succeeded in all parts of the world.

8 There are many things we do not know about ecosystem dynamics at the
9 watershed level. As one Conference speaker said, "What we don't know is the full
10 range of species richness that exists in any given watershed, nor do we fully
11 understand how such species richness could be applied to the production goals of an
12 ecologically based agriculture." For instance, an important research and technology
13 development focus has been the search for biological solutions to many agricultural
14 production problems that are now approached with chemical and engineering solutions.
15 We do not know how to solve some very large problems, such as how to control erosion
16 from infrequent, high-impact storms or how to balance nutrient budgets of large animal
17 production units within small geographic regions. We also do not know how to
18 implement and assess environmental quality impacts of agricultural systems using
19 outcome-based standards rather than design-based standards.

20 Agriculture and natural resource management are strongly influenced by things
21 that are inherently unknowable. We will never fully understand how diversity within an
22 ecosystem - plants, insects, animals, microbes - hangs together, because intricate,

1 complex interactions co-evolve and will always exceed our capacity to comprehend.
2 We will never be able to forecast future societal preferences (e.g., food products,
3 environmental concerns), the future "vision" that will drive development of agricultural
4 production systems (recent examples are precision agriculture or low input sustainable
5 agriculture), or surprises (e.g., ozone hole, Legionnaire's disease, *Cryptosporidium* in
6 public water supplies). To prepare for the "unknowable", we need strategies for a
7 diversity of possible agricultural futures with adaptability to respond to social and
8 environmental surprises. We attempt to control "net community productivity" that is
9 harvested for our use, but, in ecologically-based agriculture, production goals are fit into
10 a specific ecological neighborhood and farmers learn to live with and mitigate risks
11 through diversification, flexibility, and adaptation. The way we adapt to weather and
12 earthquakes may provide a better model for farming than the way we run factories.
13 Complex interactions within watersheds are not predictable or completely controllable,
14 nor is agriculture.

15 Participatory, holistic approaches allow us to tackle complex issues that we cannot
16 solve through reductionist research. A watershed provides a practical scale for systems
17 research and management, because the boundaries of the system can be defined and
18 participants recognize their interrelatedness with others who share a water supply. It is
19 essential to identify stakeholders who represent a broad array of interests in the
20 system, get them involved early, and maintain an open process so that groups and
21 individuals not initially identified as stakeholders have opportunities to become involved.
22 In some cases leadership in initiating a project and developing a stakeholder group may

1 come from research groups, but in many cases, community education or action
2 agencies are better positioned to build broad-based networks and maintain them over
3 extended periods. In holistic approaches to ecosystem management at the watershed
4 level, plans and actions are rooted in the values of stakeholders and must address their
5 highest priority goals within the limitations of available resources. Stakeholders come
6 to the table with diverse and sometimes conflicting perceptions and values. However,
7 there are common values among stakeholders that make it possible to identify shared
8 goals and move forward. By respecting individuals with different views, it should be
9 possible to identify values, problems, and goals of the group as a whole. The process
10 requires a long-term commitment of individuals and groups because it is an iterative
11 process whereby progress is evaluated and goals are assessed and modified when
12 needed to meet evolving priorities of the group.

13 The considerable time required to build community-based participation,
14 communication, and trust pays off through efficient solutions to shared problems.
15 Participation in a successful holistic research and management process is satisfying,
16 because the approach is rooted in our societal values of individual autonomy and
17 democratic action.

18 19 **Recommendations**

20 ***Broad-based stakeholder involvement.*** The powerful impact of stakeholder
21 involvement is starting to be recognized and institutionalized, for instance by the USDA
22 Southern Region Sustainable Agriculture Research and Education programs that

1 require a systems approach and farmer involvement in all research and education
2 proposals. The Environmental Protection Agency works through states to implement
3 the Clean Water Act. The state agencies use diverse strategies to gather local input.
4 The 1996 Farm Bill also required that conservation funds be prioritized to the highest
5 priority problems as identified by local work groups convened by Soil and Water
6 Conservation Districts throughout the country. For emerging problems within
7 ecosystems and watersheds that transcend the agricultural sector, additional
8 stakeholders will need to be brought into the process representing the interests of all
9 impacted by natural resource management. Research and management systems that
10 are designed together must also be monitored and evaluated together and stakeholder
11 involvement is required from the outset.

12
13 ***Integrated research, education, and management programs.*** Systems
14 research and management programs provide a strategy to cope with ecosystem
15 dynamics within watersheds. These types of programs have very high start-up costs,
16 particularly in the time involved in establishing a stakeholder network, building trust that
17 allows the group consensus process to succeed, and compiling baseline information.
18 Monitoring impacts of change is also quite expensive because of the large areas and
19 complex interactions within ecosystems and watersheds. Therefore, concurrent
20 research and education within natural resource management efforts could leverage the
21 limited resources of all for maximum impact and effectiveness. Participants with
22 different perspectives also learn from each other. The shared experiences make the

1 efforts of each more effective in meeting diverse needs of stakeholders. Institutional
2 leaders should develop flexible systems that encourage, rather than penalize, risk-
3 taking researchers, educators, and practitioners who act "outside the box".

4 ***Hierarchical research programs.*** Just as ecosystems are hierarchical, there is a
5 need for hierarchical research programs. Some questions can only be addressed
6 through long-term research, but because it is expensive to establish and maintain long
7 term research sites, agencies that support the research and individuals that conduct the
8 research need shorter-term payoff. Shorter-term studies to address different questions
9 can often be embedded within the design of long-term experiments. Similarly, when the
10 goal is to study the system as a whole, but there is a critical lack of understanding of a
11 particular process within a component of the system, it may be possible to design a
12 reductionist study within the larger integrated study. This should improve the efficiency
13 of interpreting results from reductionist studies within the broader context. A key is to
14 ensure appropriate linkages between hierarchies.

15 ***Interagency cooperation and communication.*** Problems addressed within a
16 watershed exceed the scope and mandate of any single local, state, or federal agency.
17 Therefore, to succeed in watershed research and management, communication is
18 needed across societal and agency lines. While many people work hard to achieve
19 such cooperation, there is so much information coming from so many different places
20 that a systematic approach is needed to enhance quick and complete communication
21 about diverse activities within a given watershed.

1 **List of figures**

2 Figure 1. Examples of social, financial, human, and environmental capital that interact
3 with healthy ecosystem function.